

# APPENDIX A

Number	Date of Committee	Item	Lead	Target Date	Action	Date for action to be brought to GAC.	Response
1.	24 Apr 25	<b>Code of Corporate Governance</b>	Chief Officer - Finance, Housing & Change/ Group Manager - Chief Accountant	Jun 25	The Governance and Audit Committee considered and approved the Council's updated Code of Corporate Governance at Appendix B, subject to the inclusion of appropriate amendments to take account of the agreed motion on the Nature Emergency at Council on 9 April.	Jun 25	<b>ACTIONED</b> – An updated Code of Corporate Governance, with the following amendments, was shared with Members of the Committee on 7 May 2025:  Page 10 – removal of 'Anti-Bribery Policy' from final box Page 16 – added the declaration of a nature emergency Page 32 – added Independent Members into the Glossary.
2.	24 Apr 25	<b>Anti-Tax Evasion Policy Review</b>	Chief Officer - Finance, Housing & Change/ Deputy Head of Finance/ Senior Fraud Investigator	Jun 25	Officers undertook to explore what further training could be provided for Members, when policies are amended and updated.	Jun 25	<b>ACTIONED</b> – Training materials on Corporate Fraud have been updated to take account of amended and updated policies.
3.	24 Apr 25	<b>Internal Audit Recommendation Monitoring</b>	Chief Officer - Finance, Housing & Change	Jun 25	Members recommended that the appropriate Cabinet Member, Corporate Director, and responsible officers be invited to a special meeting of the Governance and Audit Committee to be organised before the next scheduled meeting to review and discuss three issues highlighted in the following reports: <ul style="list-style-type: none"> <li>Home to Work Mileage in Council Vehicles.</li> <li>Parking Enforcement.</li> <li>Porthcawl Harbour Annual Return.</li> </ul>	Jun 25	<b>ACTIONED</b> – The following update was sent to Members of the Committee on 2 June:  Due to the absence of the Director of Communities and the secondment of the Head of Service to another role, there are capacity issues in the Directorate. As a result, the Chair has agreed that the issues planned for the special meeting will now be dealt with at the scheduled meeting on 19 June.  The Chair has asked that the relevant Cabinet member, Director, and Service Manager attend to answer questions about the following in respect of the three issues identified for review and discussion: <ul style="list-style-type: none"> <li>What has been done to date?</li> <li>Why have the actions been delayed?</li> <li>What is being done to meet the recommendations?</li> <li>What is the new timeline?</li> </ul>
4.	24 Apr 25	<b>Internal Audit Recommendation Monitoring</b>	Chief Officer - Finance, Housing & Change	Jun 25	Members requested that a written update be submitted that addresses the issues highlighted in respect of Corporate Safeguarding – Contracts.	Jun 25	<b>ACTIONED</b> – A written update was sent to Members of the Committee on 5 June 2025.

5.	30 Jan 25	<b>Statement of Accounts 2023-24: Lessons Learned</b>	Chief Officer – Legal & Regulatory Services, HR & Corporate Policy/ Chief Officer - Finance, Housing & Change	Jun 25	That a Member briefing on the Corporate Joint Committee (CJC) be considered to outline exactly what changes have taken place, including the governance structures, and how the County Borough fits into it.  Consideration could be given to inviting a representative of the CJC to be questioned by Members of GAC.  There was a need to consider how the Minutes from the governance structures of the CJC could be brought into the Council for Members to consider significant issues and developments.	Jun 25	<b>ACTIONED</b> – The Democratic Services Manager has been in touch with the CJC monitoring officer to try and arrange member training on the CJC for all our Members. Discussions on the best way forward are ongoing. An update will be provided at the meeting of the Committee on 19 June 2025.
6.	30 Jan 25	<b>Corporate Complaints</b>	Chief Officer – Legal & Regulatory Services, HR & Corporate Policy	Jun 25	Members requested that a progress report on the implementation the new complaints system be submitted to meeting of the committee in June 2025	Jun 25	<b>ACTIONED</b> – A progress report on the implementation of the new complaints system will be submitted to the meeting of the Committee on 19 June 2025.
7.	28 Nov 24	<b>Monitoring Report - Corporate Complaints</b>	Chief Officer – Legal & Regulatory Services, HR & Corporate Policy/ Democratic Services Manager	Jan 25	Members requested that the Wales Penalty Processing Partnership (WPPP) be referred to the relevant scrutiny committee, and that the findings are reported back to the Governance and Audit Committee.	Apr 25	<b>ACTIONED</b> – As requested by the Corporate Overview and Scrutiny Committee (COSC), the item was scoped out further by Scrutiny Officers and considered by the Scrutiny Chairs, who were satisfied that the information provided regarding WPPP did not require further scrutiny and requested that the information be circulated to Members of the Governance and Audit Committee (GAC) and COSC for assurance.  The information was circulated to Members of GAC and COSC on 12 June 2025.  It was recommended by the Scrutiny Chairs that GAC request further information from the Communities Directorate regarding the responsibility for quality assurance and monitoring as part of their update on Parking Enforcement.
8.	19 Jul 24	<b>Porthcawl Harbour Return</b>	Chief Officer – Legal & Regulatory Services, HR & Corporate Policy/ Democratic Services Manager	Sept 24	Members requested that the Subject Overview and Scrutiny Committee 3 look at the operation and performance, especially in respect to the Council's commitments and liabilities, of Porthcawl Harbour.	Apr 25	<b>ACTIONED</b> – Following a request for an update, Scrutiny Chairs considered the information that had been provided from various Officers and were satisfied that the topic did not require further scrutiny and requested that the information be circulated to Members of the Governance and Audit Committee (GAC).  The information was circulated to Members of GAC on 12 June 2025.

9.	6 Jun 24	Regional Internal Audit Service Charter 2024-25	Chief Officer - Finance, Housing & Change/ Democratic Services Manager/ Head of RIAS	Jul 24	Members requested a training event to include, if possible, representatives from Audit Wales.	Apr 25	<p><b>ACTIONED</b> – The Democratic Services Manager has sent out recordings of previous Audit training such as Treasury Management training, as a refresher and for those who may have been unable to attend the training.</p> <p>The Democratic Services Manager and the Chief Officer – Finance, Housing &amp; Change are in the process of arranging a training event for the Committee that will involve internal and external Audit and focus on the following:</p> <ul style="list-style-type: none"><li>• TOR for GAC.</li><li>• Role of Internal Audit.</li><li>• Role of Audit Wales</li><li>• Role of the Lay Member.</li><li>• Discussion re future training requirements.</li></ul>
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